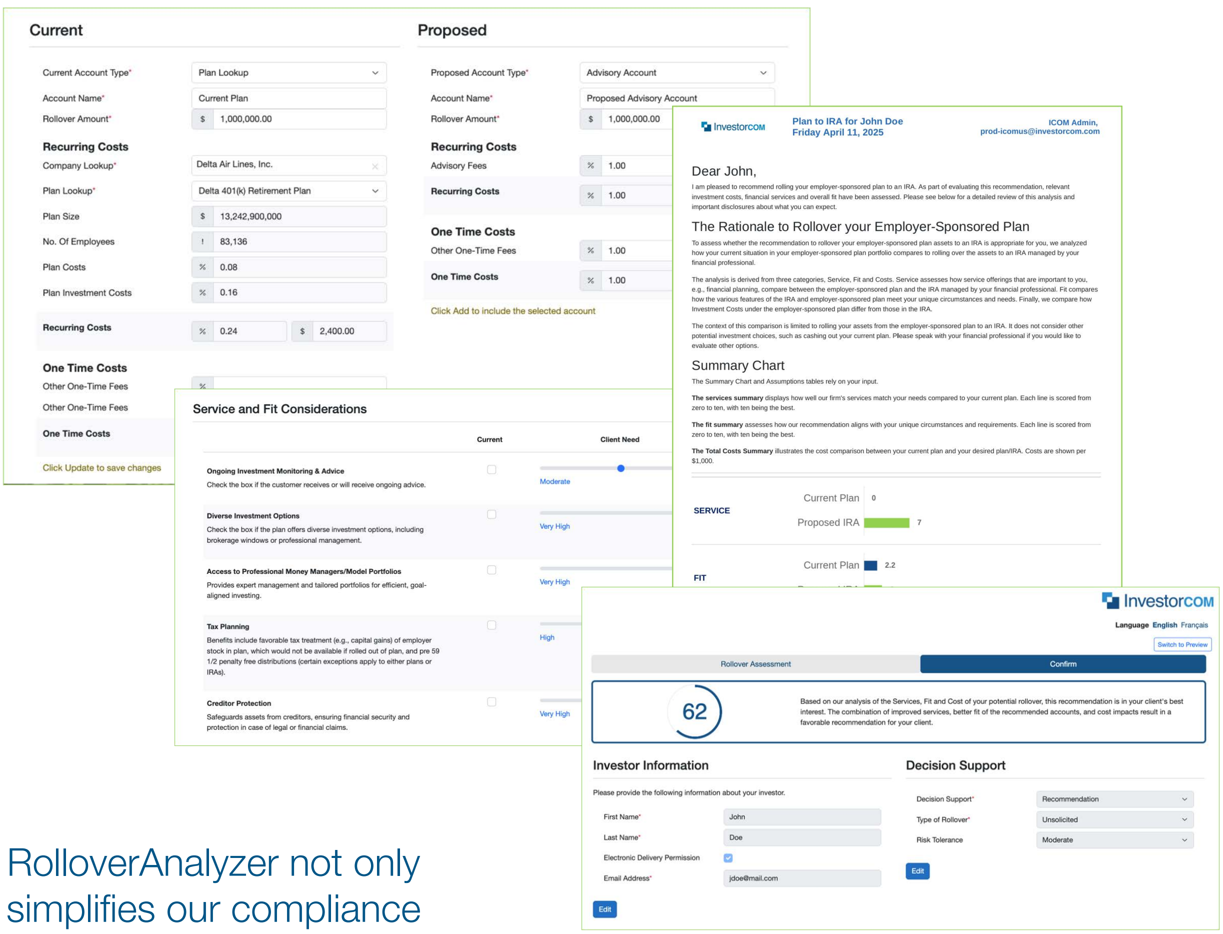
RolloverAnalyzer™

Single workflow for recommending, documenting and disclosing rollovers.

WATCH VIDEO

> Compare rollovers based on client fit, service value and investment costs.
> Assess plan costs via a built-in integration to Form 5500 and benchmark data.
> Document the recommendation and disclose with the client in one click.



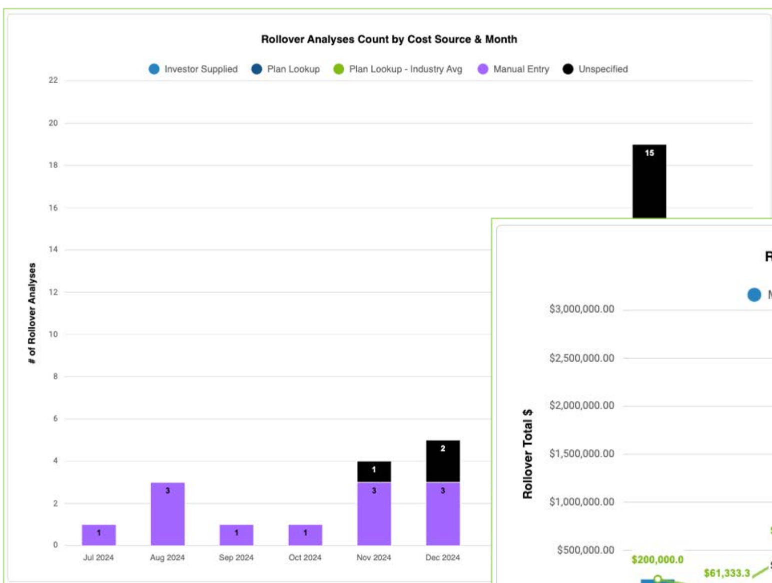
> "RolloverAnalyzer not only simplifies our compliance process, but also maximizes client outcomes
>
> – Rick Ohlrich, CCO at RFG Advisory

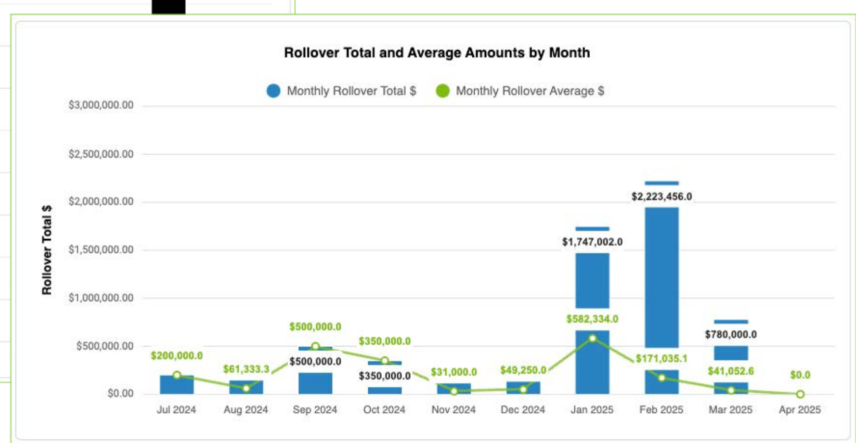
Simplify the retrospective review process.

Using RolloverAnalyzer's compliance dashboard value-add, compliance and supervisory teams can efficiently review their firm's rollover transactions as required by PTE 2020-02.

ComplianceDashboard™ gathers all the transactional data from RolloverAnalyzer and presents it in a single, robust dashboard.

> Monitor and analyze your firm's rollover activity.
> Filter by financial professional and drill into detail.
> Identify risk-based scenarios for self-correction.
> Export data for regulatory reports.
> Validate rollover recommendations with rollover activity.





Example graphs available.

See a demo of RolloverAnalyzer
InvestorCOM.com/demo

About InvestorCOM Inc.

InvestorCOM is a leading provider of regulatory compliance software solutions for the wealth management industry. Our high value, intuitive solutions eliminate compliance risk for our clients.

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