PeerCompare™

Consider Reasonably Available Alternatives

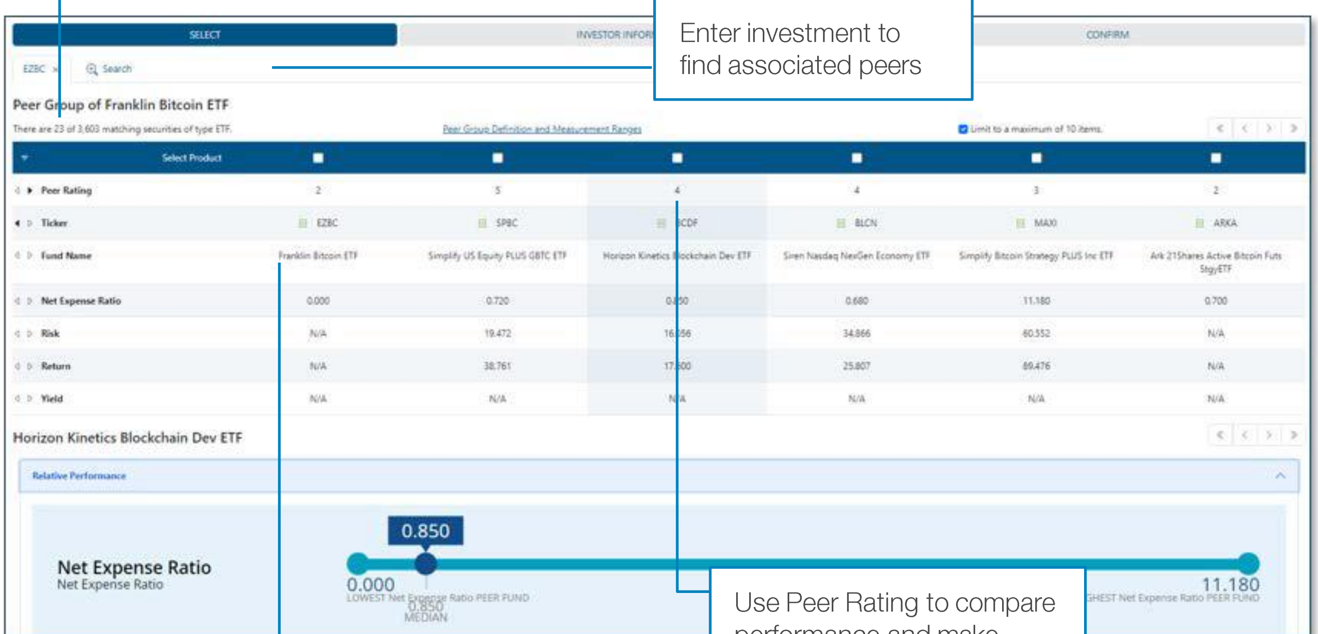
Regulation Best Interest establishes a “Best Interest” standard for brokers and advisors when making investment recommendations to their clients. Use PeerCompare™ to review reasonable product alternatives on your shelf and generate disclosure documents for e-delivery and audit.

InvestorCOM

PeerCompare™ is an intuitive app for finding and comparing reasonable product alternatives for your investors based on investment cost, risk and performance criteria. It fits into your existing advisor workflow, allowing you to recommend a suitable investment while ensuring compliance with Reg BI.

See What's Under the Hood of Comparable Investment Vehicles

Dynamically create product peer groups using industry-standard product categories and data. See how a recommendation compares to its peers among more than 275,000 product profiles.



View side-by-side comparisons of returns, risks, expenses and more. Just enter the security name, ticker symbol, CUSIP number or Morningstar code, and a peer group of reasonably available alternatives are sourced from your product shelf and presented based on configurable criteria that includes fund category, risk rating and time horizon. See at-a-glance how your recommendation compares against its peer group.

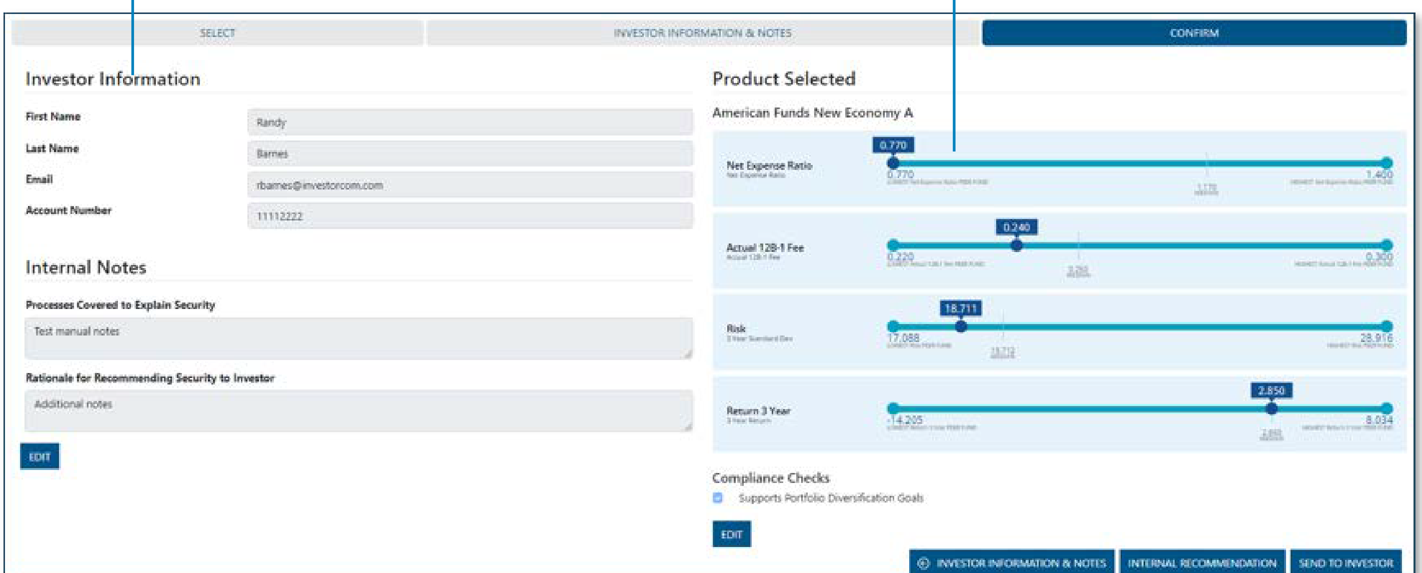
Gain Intelligent Insight on How Funds Stack Up

Drill into each fund for insight on relative performance. Get context on costs, risk, and return that you can use to explain to investors why you would or would not recommend a fund.

Make unbiased recommendations using Peer Ratings. Each value is based on the weighted percentile ranking for cost, risk and return, making it easier to compare a security's performance within the peer group.

View Investor Information and Internal Notes next to the investment recommendation

Performance of selected security



Create an internal record of the recommendation

Send a PDF of the recommendation to the client

Track Disclosure and Meet Regulatory Oversight

Manage your recordkeeping, oversight, and disclosure requirements. In one click you can record an internal disclosure and electronically share a PDF of your recommendation to your client if you choose.

Get started with PeerCompare™ today!

Book a demo at investorcom.com/demo

About InvestorCOM Inc.

InvestorCOM is a leading provider of regulatory compliance software and communications solutions for wealth managers, asset managers and insurers in the financial services industry.

Our high value, intuitive solutions eliminate compliance risk for our clients.

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