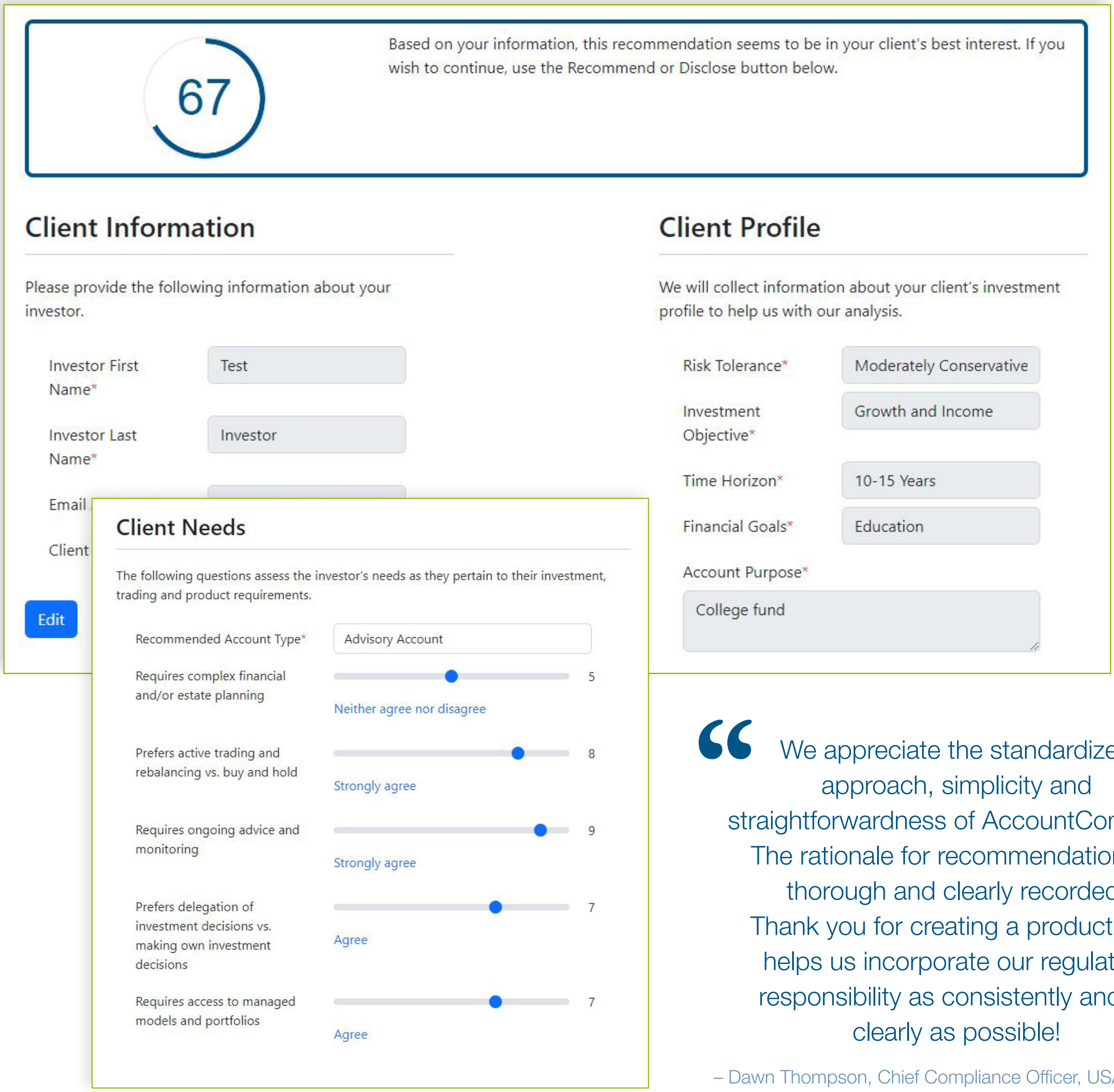
Investor**COM**

AccountCompare™

Align investor needs with account features.

- > Assess account types based on investor needs, investment activity and costs.
- > Integrated scoring metric to intuitively illustrate the decision clearly.
- > Configurable platform designed to meet the unique needs of your firm.
- > Compliant framework designed to meet the SEC and DOL's account type recommendation requirements.

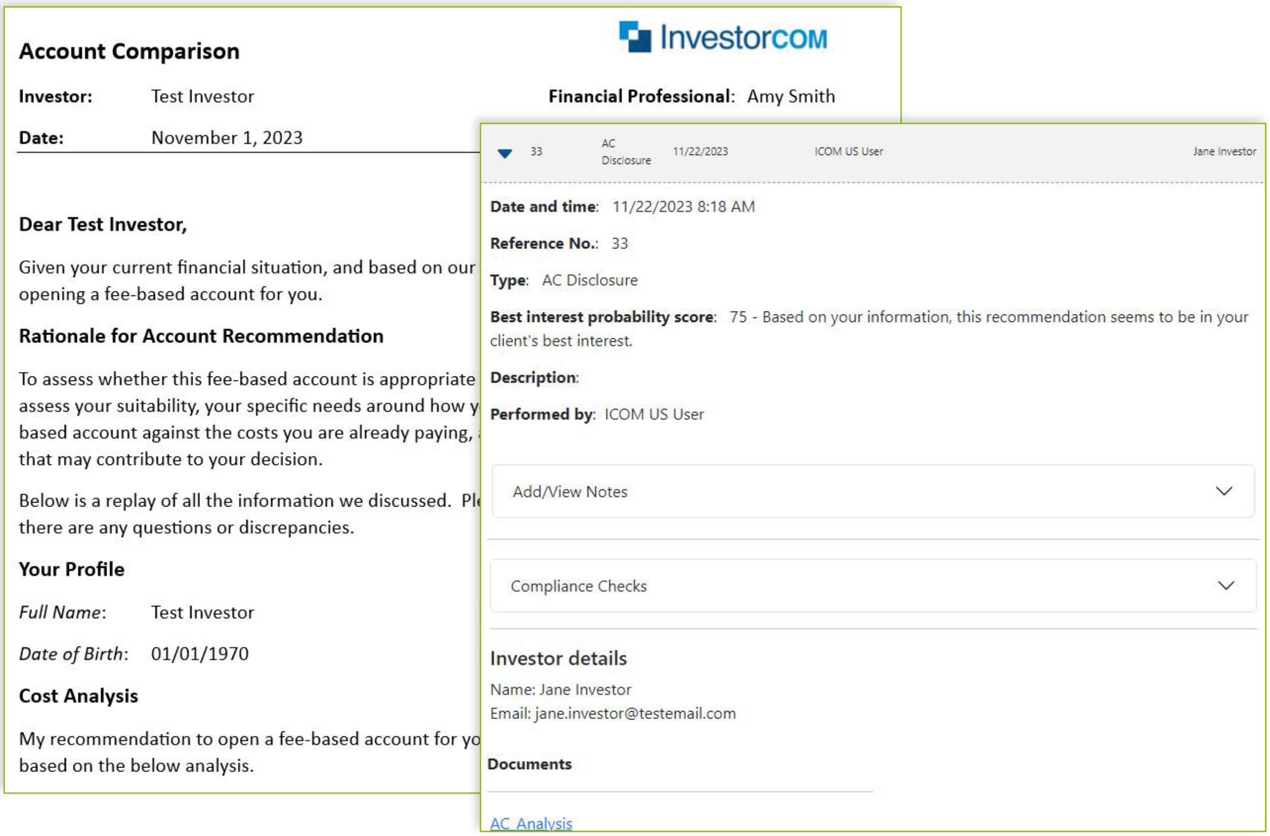


> " We appreciate the standardized approach, simplicity and straightforwardness of AccountCompare. The rationale for recommendations is thorough and clearly recorded. Thank you for creating a product that helps us incorporate our regulatory responsibility as consistently and as clearly as possible!
>
> – Dawn Thompson, Chief Compliance Officer, USA FInancial

Seamless documentation and reporting.

Every analysis is digitally documented in a repository, even the recommendation to not act, providing a 17a-4 compliant repository of all activity and documents for easier reconciliation and research.

- Monitor and research your firm's account type recommendations.
- Audit ready with digital documentation stored in ComplianceExpress™.
- Disclosure can be electronically sent to your client and provided proof of delivery.
- Simplify your research and reporting efforts with a single repository of data and documents.



See a demo of AccountCompare

InvestorCOM.com/demo

About InvestorCOM Inc.

InvestorCOM is a leading provider of regulatory compliance software solutions for the wealth management industry. Our high value, intuitive solutions eliminate compliance risk for our clients.

1.800.361.9494 | InvestorCOM.com

InvestorCOM