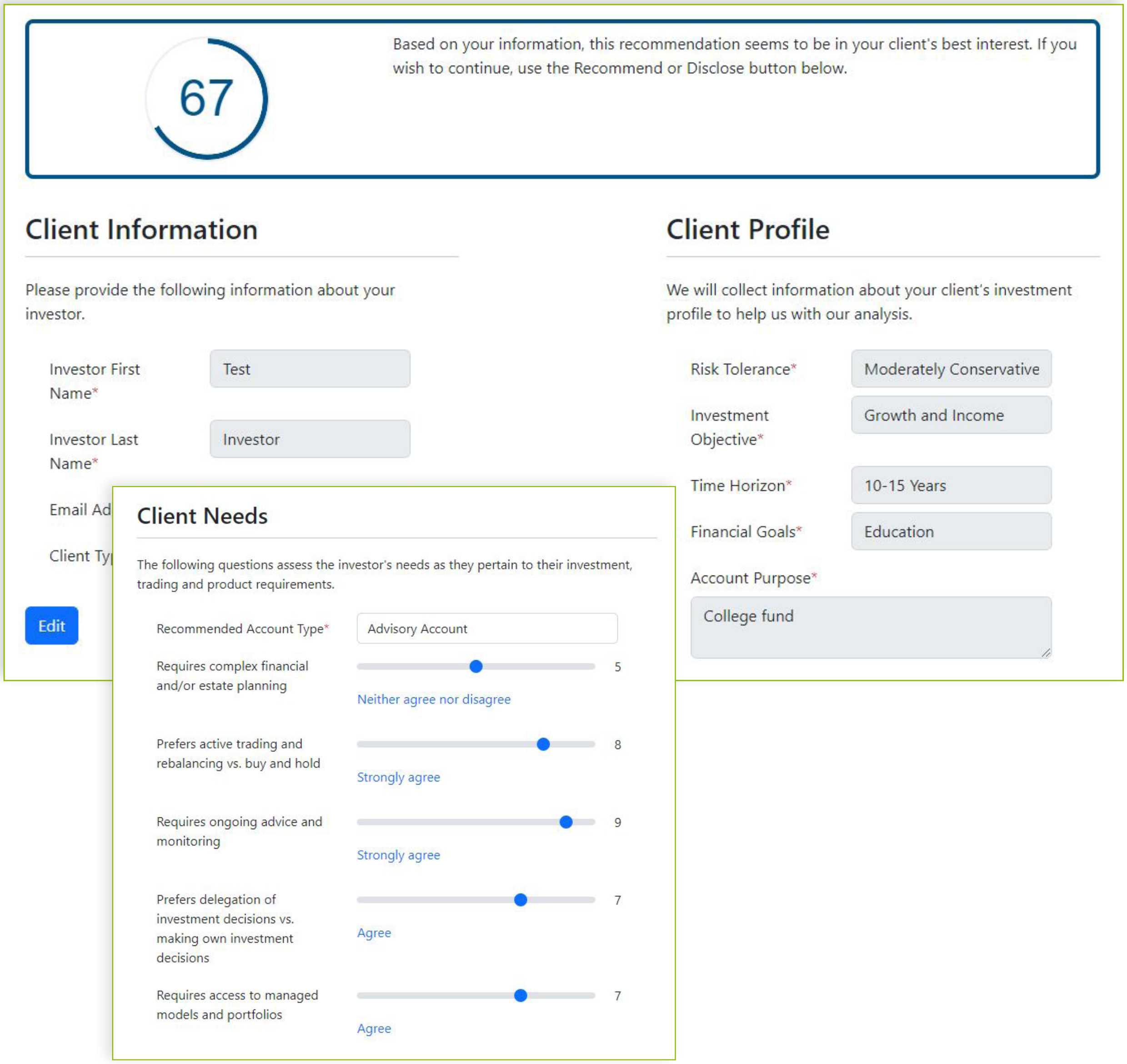
InvestorCOM

AccountCompare™

Align investor needs with account features.

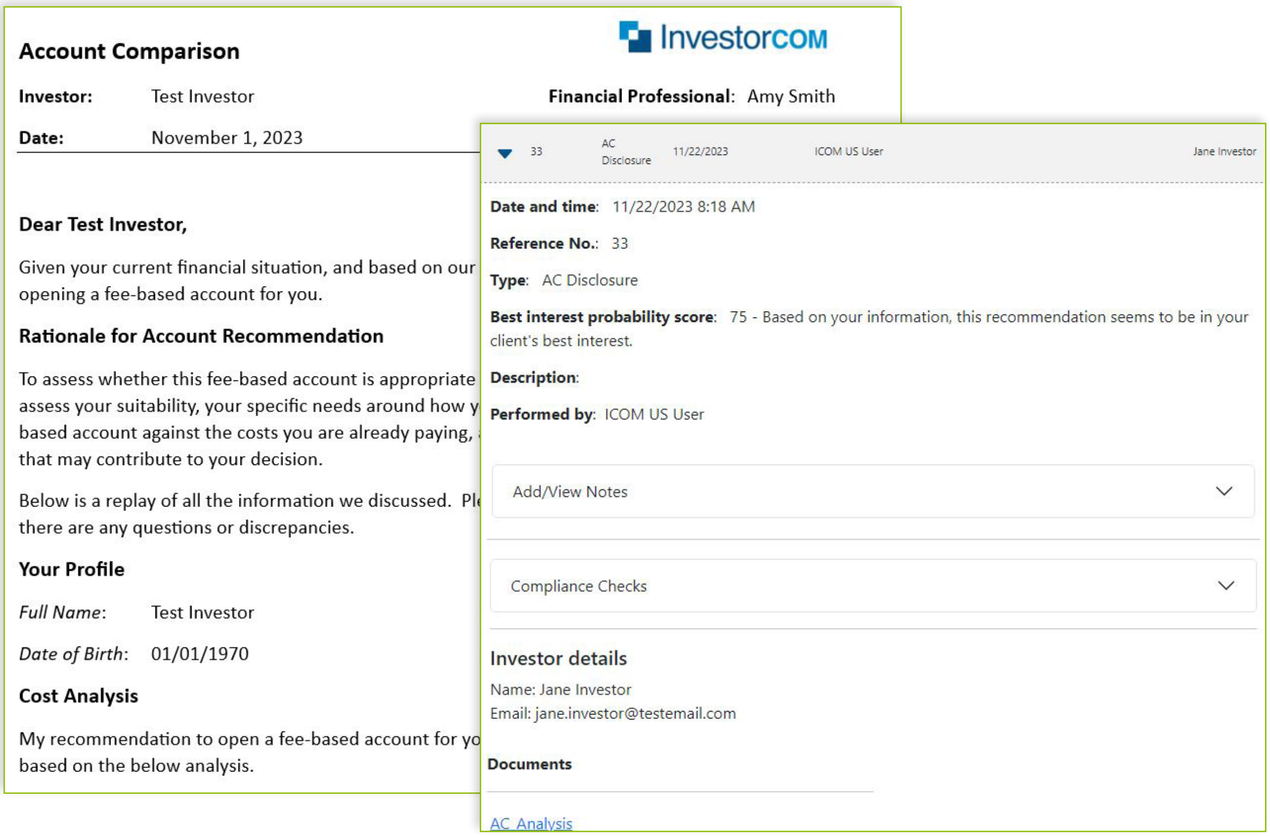
- > Assess account types based on investor needs, investment activity and costs.
- > Integrated scoring metric to intuitively illustrate the decision clearly.
- > Configurable platform designed to meet the unique needs of your firm.
- > Compliant framework designed to meet the Client Focus Reform's account type suitability requirements.
- > Bilingual user interface supports English and French languages.



Seamless documentation and reporting.

Every analysis is digitally documented, even the recommendation to not act, providing a compliant repository of all activity and documents for easier reconciliation and research.

> Monitor and research your firm's account type recommendations.
> Audit ready with digital documentation stored in ComplianceExpress™.
> Disclosure can be electronically sent to your client and provided proof of delivery.
> Simplify your research and reporting efforts with a single repository of data and documents.



Account Comparison

InvestorCOM

Investor: Test Investor **Financial Professional:** Amy Smith

Date: November 1, 2023

Dear Test Investor,

Given your current financial situation, and based on our
opening a fee-based account for you.

Rationale for Account Recommendation

To assess whether this fee-based account is appropriate
assess your suitability, your specific needs around how y
based account against the costs you are already paying,
that may contribute to your decision.

Below is a replay of all the information we discussed. Pl
there are any questions or discrepancies.

Your Profile

Full Name: Test Investor

Date of Birth: 01/01/1970

Cost Analysis

My recommendation to open a fee-based account for yo
based on the below analysis.

33 AC Disclosure 11/22/2023 ICOM US User Jane Investor

Date and time: 11/22/2023 8:18 AM

Reference No.: 33

Type: AC Disclosure

Best interest probability score: 75 - Based on your information, this recommendation seems to be in your client's best interest.

Description:

Performed by: ICOM US User

Add/View Notes

Compliance Checks

Investor details

Name: Jane Investor
Email: jane.investor@testemail.com

Documents

AC Analysis

See a demo of AccountCompare

InvestorCOM.com/demo

About InvestorCOM Inc.

InvestorCOM is a leading provider of regulatory compliance software solutions for the wealth management industry. Our high value, intuitive solutions eliminate compliance risk for our clients.

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