



PeerCompare™

Consider Reasonably Available Alternatives

Regulation Best Interest establishes a “Best Interest” standard for brokers and advisors when making investment recommendations to their clients. Use PeerCompare™ to review reasonable product alternatives on your shelf and generate disclosure documents for e-delivery and audit.

PeerCompare™ is an intuitive app for finding and comparing reasonable product alternatives for your investors based on investment cost, risk and performance criteria. It fits into your existing advisor workflow, allowing you to recommend a suitable investment while ensuring compliance with Reg BI.

See What's Under the Hood of Comparable Investment Vehicles

Dynamically create product peer groups using industry-standard product categories and data. See how a recommendation compares to its peers among more than 275,000 product profiles.

Number of available matches for this search

Enter investment to find associated peers

The screenshot shows the PeerCompare app interface. At the top, there is a search bar with the text 'Goldman Sachs Balanced Strategy RE | GSPX | 38148106 | R000009445'. To the right of the search bar is a 'FIND PEER GROUP' button. Below the search bar, the text 'PEER GROUP DEFINITION' is displayed, followed by 'There are 24 of 24,268 matching Mutual Funds. Selected Security is on the Product Shelf.' Below this, there are four columns: SECURITY TYPE (Mutual Fund), BROAD ASSET CLASS (Allocation), CATEGORY (Sector Allocation), and SHARE CLASS (Retirement). The main part of the screenshot is a table titled 'PEER GROUP' with the following columns: TICKER, FUND COMPANY, FUND NAME, PEER RATING (5-10), NET EXPENSE RATIO, ACTUAL 12B-1 FEE, RISK, and RETURN. The table lists several funds, including Goldman Sachs, JPMorgan Chase, and others. A callout box points to the 'PEER RATING' column, stating 'Use Peer Rating to compare performance and make unbiased recommendations'. Another callout box points to the 'Number of available matches for this search' text, and a third callout box points to the 'Peer group of matching results' text.

TICKER	FUND COMPANY	FUND NAME	PEER RATING (5-10)	NET EXPENSE RATIO	ACTUAL 12B-1 FEE	RISK	RETURN
GSPX	Goldman Sachs	Goldman Sachs Balanced Strategy RE	5	0.680	N/A	8.740	8.758
JMAGX	JPMorgan Chase	JPMorgan Multi-Asset Absolute Return RE	8	1.180	0.000	9.880	6.418
ADFX	Virtus	Virtus Allianz Global Dynamic Allo RE	3	0.840	N/A	12.230	12.908
ADFX	ADF Funds	ADF Multisector RE	3	0.800	N/A	9.124	9.009
CBGX	AlpsInvestment	Alps Global Risk Allocation K	3	1.400	0.210	10.140	10.000
CBGX	Columbia Threadneedle	Columbia Absolute Return Allocation S	3	0.900	0.000	8.018	10.000
			LOW	0.370	0.000	5.814	4.770
			MEDIAN	1.200	0.000	9.800	8.000
			HIGH	0.000	0.000	15.980	12.908

Use Peer Rating to compare performance and make unbiased recommendations

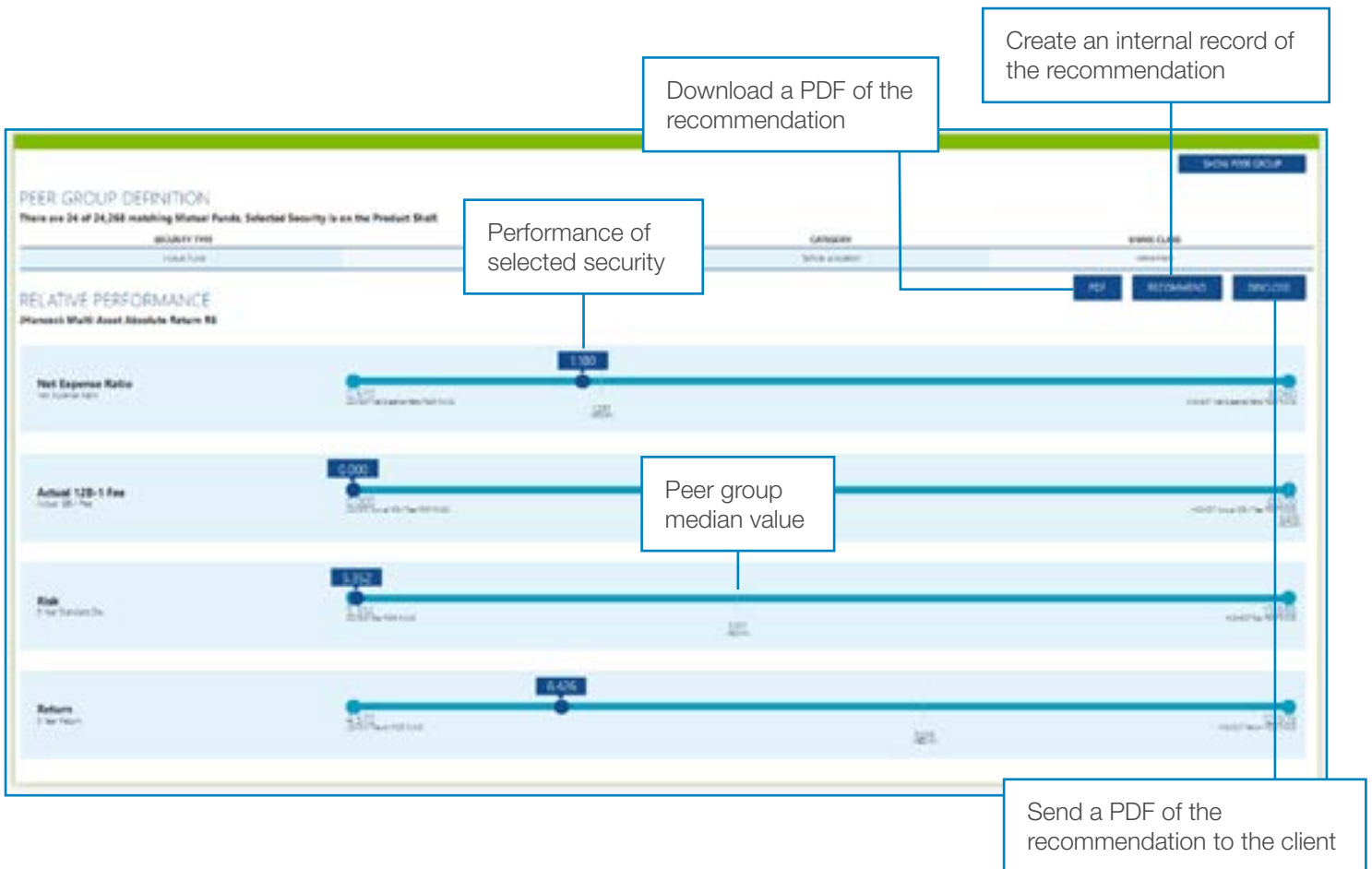
Peer group of matching results

View side-by-side comparisons of returns, risks, expenses and more. Just enter the security name, ticker symbol, CUSIP number or Morningstar code, and a peer group of reasonably available alternatives are sourced from your product shelf and presented based on configurable criteria that includes fund category, risk rating and time horizon. See at-a-glance how your recommendation compares against its peer group.

Gain Intelligent Insight on How Funds Stack Up

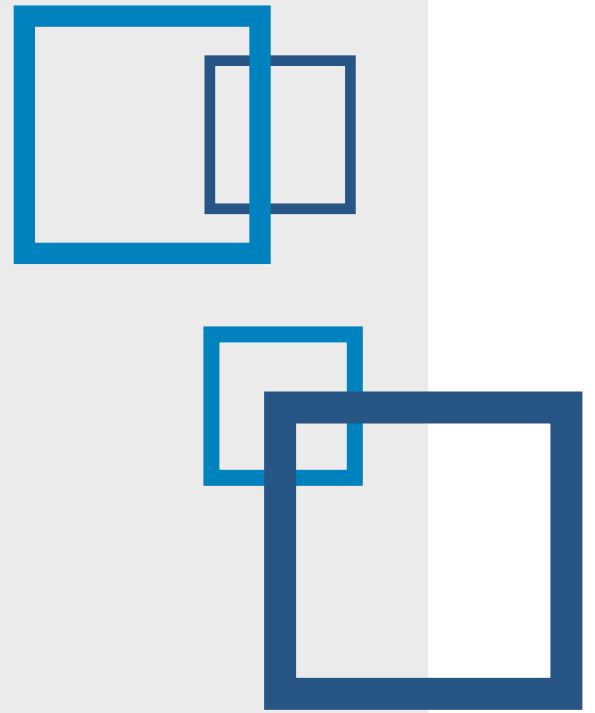
Drill into each fund for insight on relative performance. Get context on costs, risk, and return that you can use to explain to investors why you would or would not recommend a fund.

Make unbiased recommendations using Peer Ratings. Each value is based on the weighted percentile ranking for cost, risk and return, making it easier to compare a security's performance within the peer group.



Track Disclosure and Meet Regulatory Oversight

Manage your recordkeeping, oversight, and disclosure requirements. In one click you can record an internal disclosure and electronically share a PDF of your recommendation to your client if you choose.



Get started with PeerCompare™ today!

Book a demo at investorcom.com/demo

About InvestorCOM Inc.

InvestorCOM is a leading provider of regulatory compliance software and communications solutions for wealth managers, asset managers and insurers in the financial services industry.

Our high value, intuitive solutions eliminate compliance risk for our clients.



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