



Compliance



Introducing

PeerCompare™

Consider a Reasonable Range of Alternatives with Confidence

The Client Focused Reforms requires advisors to consider a reasonable range of alternatives available through the firm when making suitable recommendations.

PeerCompare™ is a simple-to-use app for finding and comparing reasonable product alternatives based on investment cost, risk and performance criteria.

See What's Under the Hood of Comparable Investment Vehicles

Dynamically create product peer-groups using industry-standard product categories and data. Identify product recommendations that are in the client's best interest by seeing how each recommendation compares to its peers among more than 275,000 product profiles.

InvestorCOM

Find: FIND PEER GROUP

PEER GROUP DEFINITION
 There are 19 of 43,234 matching Mutual Funds.

SECURITY TYPE	CATEGORY	RISK RATING	TIME HORIZON	SHARE CLASS	FUND SERIES
Mutual Fund	High Yield Fixed Income	Low to Medium Risk	Long	Commission Based Advice	A

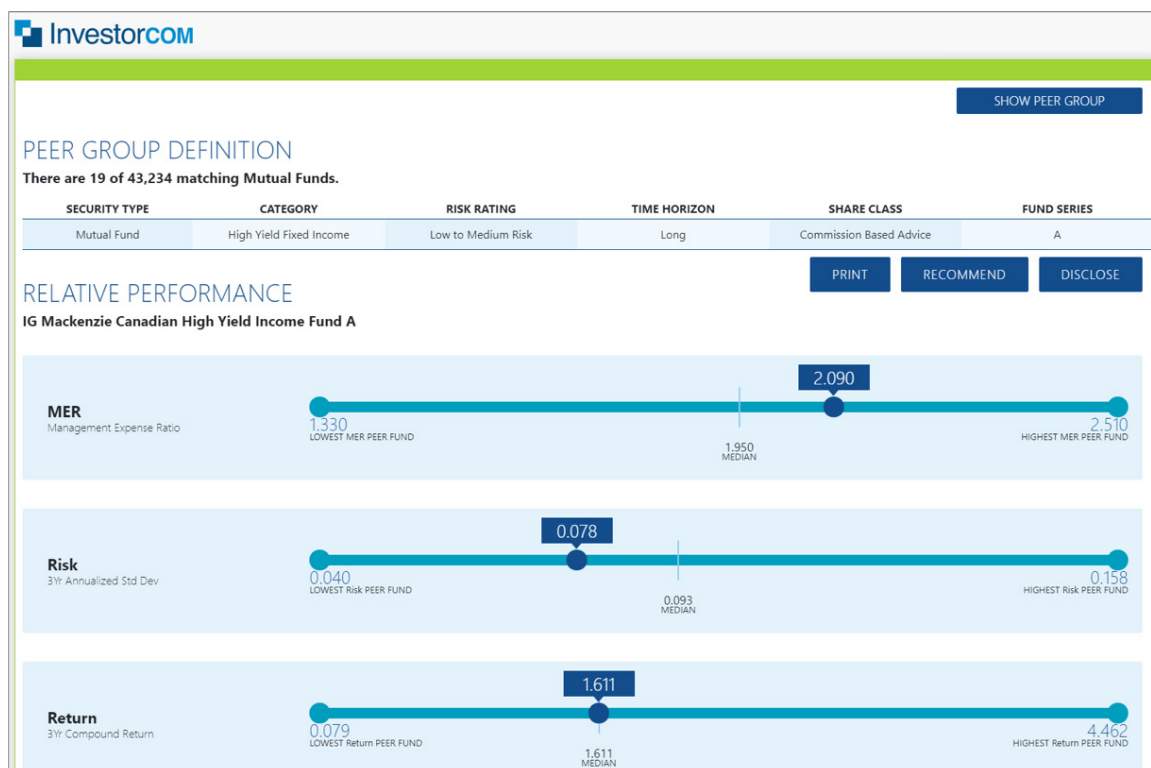
PEER GROUP

FUNDSERV CODE	FUND COMPANY	FUND NAME	MER	RISK	RETURN
IGI922	Investors Group	IG Mackenzie Canadian High Yield Income Fund A	2.090	0.078	1.611
CIG2059	CI Investments Inc.	CI Global High Yield Credit Private Pool Class A	1.330		
CIG50036	CI Investments Inc.	Signature High Yield Bond Class Series A	1.950	0.104	1.264
CIG50040	CI Investments Inc.	Signature High Yield Bond Class Series A US	2.090	0.148	0.166
DJT00024	Desjardins Funds	Desjardins Floating Rate Income Fund A Class	1.724	0.100	0.079
FAF5700	First Asset Investment Mgmt	First Asset Canadian Convertible Bond Fund Ser A	2.500	0.139	0.579
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		LOW	1.330	0.040	0.079
		MEDIAN	1.950	0.093	1.611
		HIGH	2.510	0.158	4.462

View side-by-side comparisons of returns, risks, expenses and more. Just enter a Fund Name, CUSIP or Fund Code, and a peer group of available alternatives are sourced from your product shelf and presented based on configurable criteria that can include fund category, risk rating and time horizon. See at-a-glance how your recommendation compares against its peer group.

Gain Intelligent Insight on How Recommended Funds Stack Up

Drill into each fund for insight on relative performance. Get context on costs, risk, and return that you can use to explain to investors why you would or would not recommend a fund.



Track Disclosure and Meet Regulatory Oversight

Use PeerCompare™ with ComplianceExpress™ to manage your recordkeeping, oversight, and disclosure requirements. Generate compliant recommendations for your clients effortlessly and intuitively.



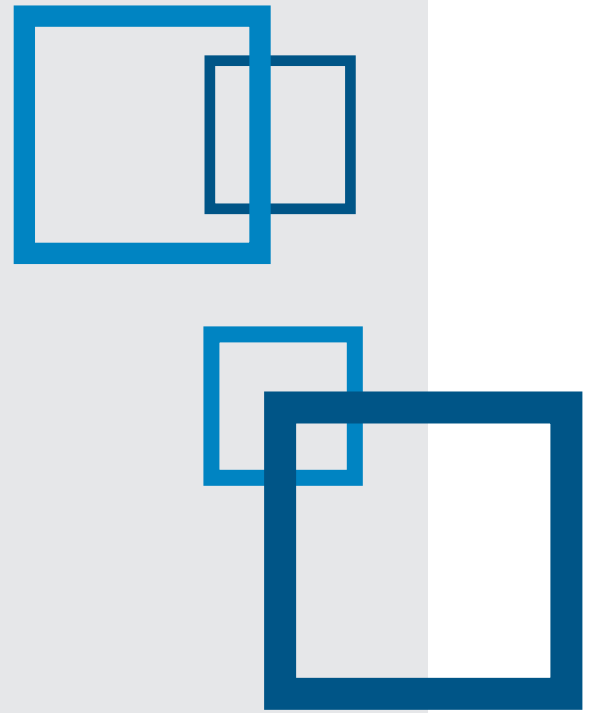
Get audit-ready



Meet the KYP & Suitability requirements of CFRs



Get up and running in 2 weeks



Get started with PeerCompare™ today!

Contact info@InvestorCOM.com
for a demo and to start your free 30-day trial.

InvestorCOM is a leading provider of software and outsourcing services to the wealth management industry.

Our intuitive and innovative suite of RegTech solutions enable effective communications and disclosure in an increasingly regulated environment.

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