Compliance

Introducing

PeerCompare™

Consider Reasonably Available Alternatives

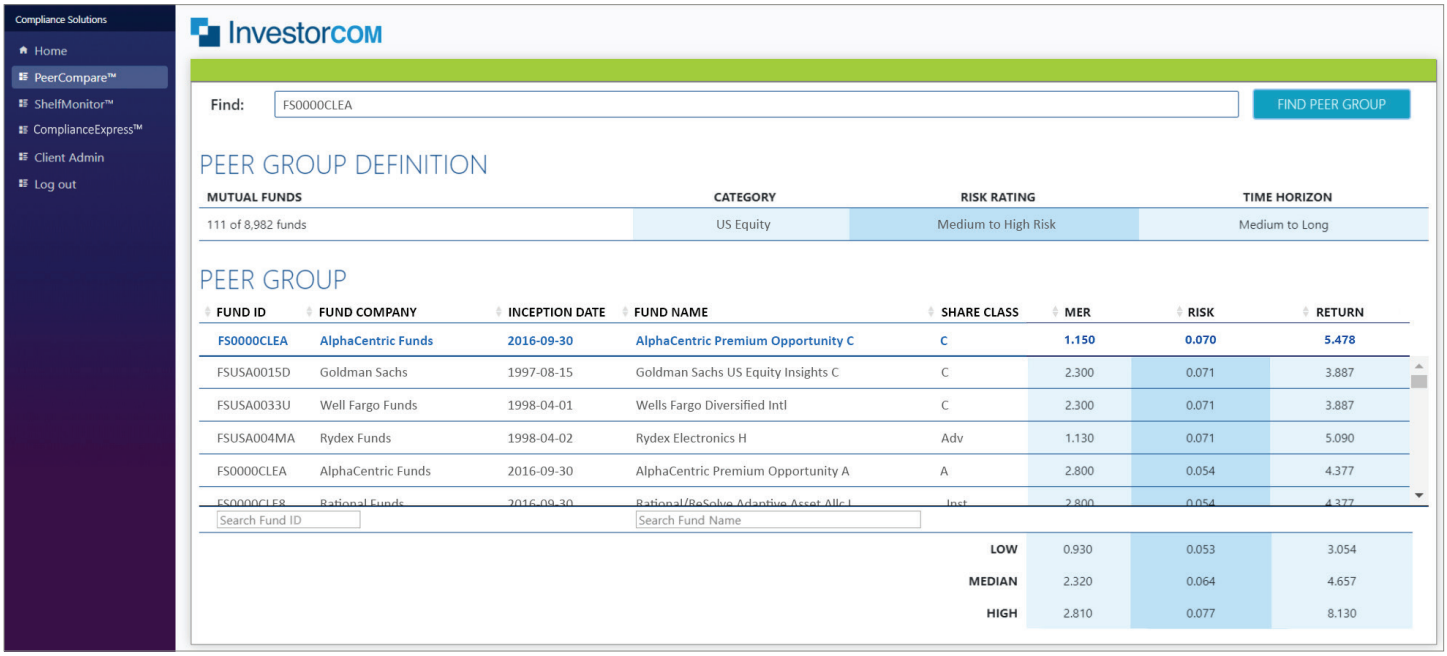
Reg BI establishes a “Best Interest” standard for brokers and advisors when making investment recommendations to their clients. Use PeerCompare™ to review reasonable product alternatives on your shelf and generate disclosure documents for e-delivery and audit.

Investorcom

PeerCompare™ is a simple-to-use app for finding and comparing reasonable product alternatives for your investors based on investment cost, risk and performance criteria. It fits into your existing advisor workflow, allowing you to recommend a suitable investment while ensuring compliance with Reg BI's care and disclosure requirements.

See What's Under the Hood of Comparable Investment Vehicles

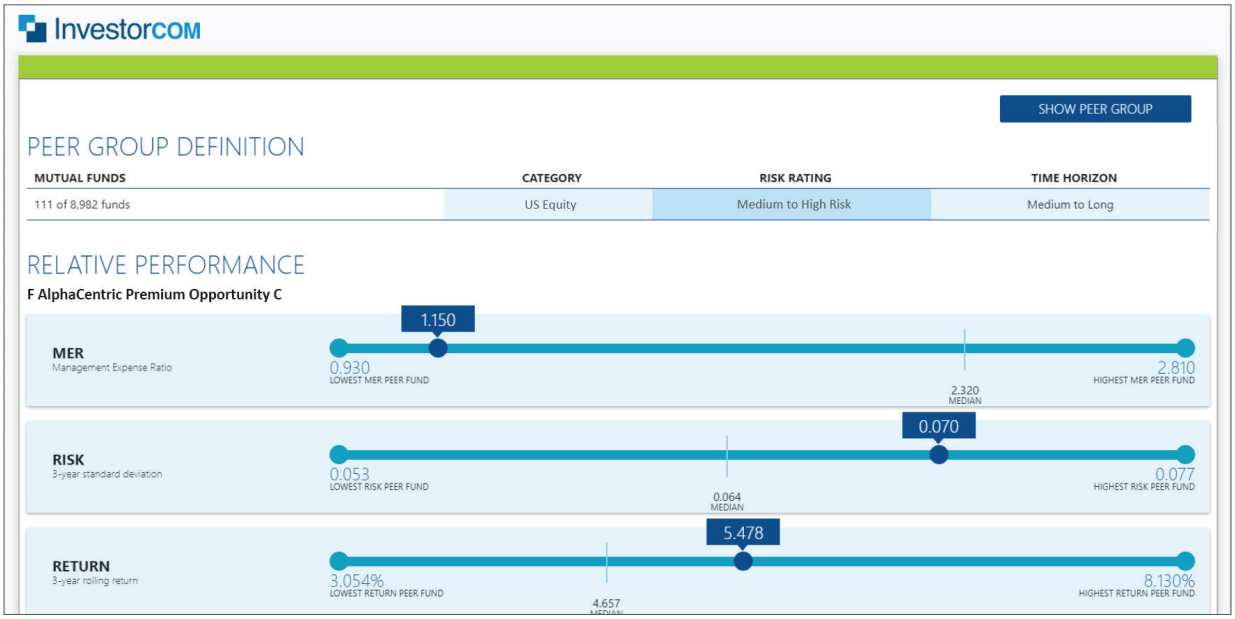
Dynamically create product peer groups using industry-standard product categories and data. See how a recommendation compares to its peers among more than 275,000 product profiles.



View side-by-side comparisons of returns, risks, expenses and more. Just enter a Fund ID, CUSIP Code, or Fund Name, and a peer group of reasonably available alternatives are sourced from your product shelf and presented based on configurable criteria that includes fund category, risk rating and time horizon. See at-a-glance how your recommendation compares against its peer group.

Gain Intelligent Insight on How Recommended Funds Stack Up

Drill into each fund for insight on relative performance. Get context on costs, risk, and return that you can use to explain to investors why you would or would not recommend a fund.

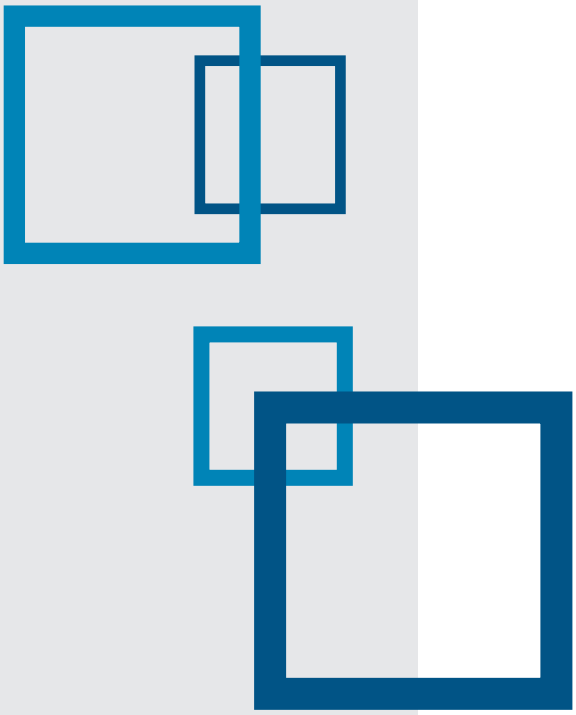


Track Disclosure and Meet Regulatory Oversight

Use PeerCompare™ with ComplianceExpress™ to manage your recordkeeping, oversight, and disclosure requirements. Generate compliant recommendations for your clients effortlessly and intuitively.

REG BI READY GUARANTEE

- Get audit-ready by June 30, 2020.
- Meet the Care and Disclosure obligation requirements set out in Regulation Best Interest.
- Get the solution up and running within one week.



Get started with PeerCompare™ today!

Contact RegBIReady@investorCOM.com
for a demo and to start your free 30-day trial.

InvestorCOM is a leading provider of software and outsourcing services to the wealth management industry.

Our intuitive and innovative suite of RegTech solutions enable effective communications and disclosure in an increasingly regulated environment.

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