

Please join us for an information-packed webinar

Point-Of-Sale Stage 2 Fund Facts Delivery Solutions

WEBINAR DATES:

October 11, 2012
October 16, 2012

WEBINAR TIMES:

12 PM – 1 PM
3 PM – 4 PM

OVERVIEW:

Fund Facts delivery represents a significant opportunity for the industry in terms of cost reduction and improved investor satisfaction. However, it also represents a significant effort in terms of implementation. Both dealers and fund managers are looking for partners to provide solutions and guidance with this implementation.

WHO SHOULD ATTEND:

Mutual Fund Dealers, Investment Dealers and Fund Managers. Executives responsible for or overseeing Fund Facts Point-of-Sale implementation, including CEOs, CFOs, COOs, CTOs, CCOs, General Counsel, VP Operations, and their senior managers.

WEBINAR AGENDA:

What's New - Regulatory Update

Anthony Boright, President of InvestorPOS and IFIC Point-of-Sale task force member, will discuss the latest news in the changing regulatory landscape around NI-81-101. He will also review global regulatory trends in investor disclosure, as compared to the current direction of the CSA (Canadian Securities Administrators).

Implementation Scenarios

David Reeve, VP Client Strategy at InvestorPOS, will discuss implementation scenarios and best practice recommendations to start delivering Fund Facts in compliance with NI-81-101. David will discuss multi-channel delivery, integration with existing client online portals, bundled trade confirm/Fund Facts delivery and compliant Fund Facts delivery by leveraging the InvestorPOS.com repository.

Legal Considerations

Susan Han, Associate Counsel at Miller Thomson LLP, will discuss legal considerations around implementing Fund Facts delivery in lieu of the current prospectus, from a dealer standpoint and from a fund manager's standpoint. She will also talk about the legal considerations around e-delivery and the client consent process.

E-migration

Dave Carr-Pries, President of Blue ID, will showcase e-migration strategies that will maximize conversion rates from print to online. Dave will discuss marketing efforts to promote and integrate e-delivery across all regulatory documents.

TO REGISTER:

Register online at: www.investorpos.com/stage2delivery

All webinar attendees will receive our best practices paper, "Taking Advantage of the POS Regulations".